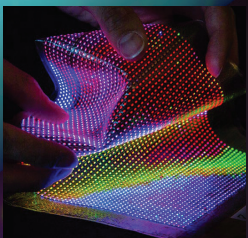


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Photonics Technologies & Solutions for Technical Professionals Worldwide

Quantum-dot superlattices produce superfluorescent quantum light

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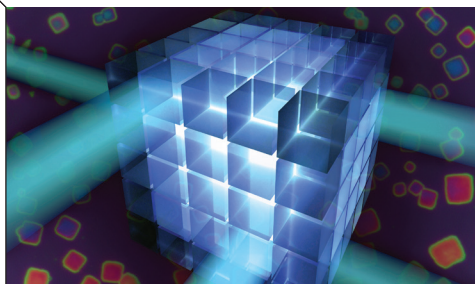
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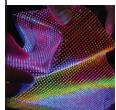


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Assemblies of colloidal nanocrystals—called superlattices—are shown under illumination; the superlattice structures are composed of 3D cubic-shaped collections of quantum dots. (Image credit: M. I. Bodnarchuk/Empa)

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2018 produces another crop of innovations in photonics that will affect industry, science, academia, and everyone else as well. *John Wallace*

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Datasets accelerate integration of thermal imaging systems into autonomous vehicles

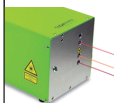
Thermal sensor datasets empower the automotive community to create safer and more efficient ADAS and driverless-vehicle systems in darkness and through fog and sun glare. *Art Stout*

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Ultrastable tunable laser sources serve IR spectroscopy for astrophysics

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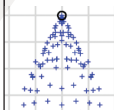
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Demonstrating single-photon sensitivity at room temperature without avalanche multiplication, QIS technology offers sub-diffraction-limited pixel sizes and many degrees of freedom in image reconstruction to emphasize resolution, sensitivity, and motion-deblur. *Eric R. Fossum and Kaitlin Anagnost*

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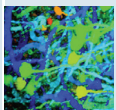
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The Quanta image sensor (QIS): Making every photon count

ERIC R. FOSSUM and KAITLIN ANAGNOST

Demonstrating single-photon sensitivity at room temperature without avalanche multiplication, QIS technology offers sub-diffraction-limited pixel sizes and many degrees of freedom in image reconstruction to emphasize resolution, sensitivity, and motion-deblur.

A new paradigm for image sensing and capture was suggested in 2005 that led to what is now called the Quanta image sensor (QIS).¹ In the QIS, photoelectrons are counted one by one, and an image is computed from combined spatial and temporal photon-count data.

A room-temperature photon-counting image sensor implemented in a slightly modified mainstream CMOS image sensor (CIS) 3D stacked, backside illumination (BSI) fabrication process that does not use avalanche multiplication, the QIS consists of specialized, low-full-well-capacity, sub-diffraction-limit pixels called jots. Their

full-well capacity is just a few electrons and deep sub-electron read noise (DSERN)—less than 0.3 e⁻ root-mean squared (RMS)—is attained without the use of avalanche multiplication. DSERN allows binary

output of the QIS, reflecting the absence or presence of a photoelectron.

The QIS may contain hundreds of millions or possibly billions of jots with readout speed of perhaps 1000 frames per second (fps) or higher, leading to raw data rates approaching 1 Tbit/s. Application-specific data reduction can be contemplated in stacked architecture of the QIS and using advanced denoising algorithms, good grayscale images can be captured in extreme low light of less than one photon per pixel on average.

Photon-counting image sensors, like the QIS, represent a different way to create images compared to CMOS

image sensors and their ancestor, the charge-coupled device (CCD). In those devices, the signal photocharge is integrated in analog in the sensor and digitized to 8–14 bits of resolution at readout. The full-well capacity defines the upper end of the dynamic range, and the read noise, often in the 1–3 e⁻ RMS range, defines the lower end. For monochrome sensors, an output image pixel is the digitized value of the charge collected in one sensor pixel.

On the other hand, in a photon-counting image sensor such as the QIS, the signal is digitally integrated on- or off-chip and an image pixel is computationally formed from a spatiotemporal cubicle of jot values (see Fig. 1). While it images one photon at a time (or sometimes more in a multibit QIS), high dynamic range (>120 dB) can still be achieved using the intrinsic overexposure latitude of the QIS and multiple high-speed exposure techniques.² Photon-counting image sensors, however, do not perform well in one area: flash photography, wherein many photons arrive at the pixel simultaneously.

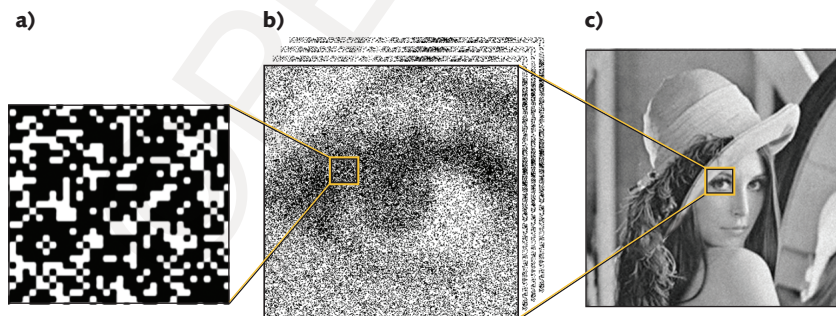


FIGURE 1. An illustration shows single-bit QIS image capture and computation.

Sensing single photoelectrons

Sensing a single photoelectron is difficult because the electron charge, 1.6×10^{-19} C, is very small and the resultant voltage is typically less than the noise level of the readout circuit. Input-referred readout noise in CMOS image sensors, including scientific CMOS (sCMOS), are typically in the range of 1 to 5 e⁻ RMS, but photon-counting

typically requires DSERN levels. The solution is to provide gain without introducing additional noise.

The QIS being pursued at Dartmouth started with a baseline CIS process, with small modifications made to invent a new pixel device structure called the pump-gate jot.³ This structure has very low sense capacitance, yielding a conversion gain of hundreds of microvolts per electron—enough for overcoming other readout noise sources. Aside from this modification, features of the highly evolved CIS process are maintained, including BSI and 3D stacking.

All other photon-counting devices, such as single-photon avalanche diode (SPAD) and electron-multiplying CCD (EMCCD) detectors, use avalanche multiplication to achieve enough charge gain for photon sensing, but must contend with avalanche multiplication's numerous downsides.

The avalanche process leads to variance in the charge gain. The high electric field required for operation of the device makes it very sensitive to silicon defects, leading to high dark count rates that limit performance and manufacturing yield. The devices are typically quite sensitive to biasing and clocking voltages, and the high electric fields also make pixel-shrink challenging because of crosstalk and isolation needs. Thus, devices using avalanche multiplication tend to have much larger pixels and lower resolution than the



FIGURE 2. A Dartmouth QIS test chip contains 20 different 1 Mjot QIS arrays and was fabricated by TSMC in a modified 45/65 nm 3D-stacked BSI CIS process.

QIS—typically a few hundred thousand pixels for SPAD arrays and a megapixel for EMCCDs.

As a result of the way a SPAD works, SPADs are not well suited for photon-number resolution since a single photon propels the device into Geiger mode and it must be reset before the next count is possible, leading to detection dead time. Also, EMCCDs have additional noise sources that make photon-number resolution challenging. On the other hand, SPADs have shown unrivaled suitability for photon-arrival time resolution. With the addition of in-pixel timing circuits, SPADs have found mass application in time-of-flight (TOF) imaging.

Million-pixel photon-counting test device

Dartmouth, in collaboration with the Taiwan Semiconductor Manufacturing Corporation (TSMC; Hsinchu, Taiwan), has implemented a test chip in a 45/65 nm 3D stacked BSI CIS process.⁴ Device design, layout, verification, and characterization were performed at Dartmouth.

The test chip consisted of 20 different 1 Mjot QIS arrays, each with pixel pitch of 1.1 μm and shared readout. Half of the arrays were analog output and the other half were single-bit digital output—several different jot designs were characterized. A 3D-stacked cluster-parallel readout architecture was implemented that permits ready scaling of array size. The read noise, conversion gain, dark current, and other normal image sensor parameters were tested with the analog output using a high-resolution analog-to-digital converter (ADC) operated at relatively slow output speeds. The binary output arrays were tested at 1000 fps or 1 Gpix/s (see Fig. 2).

To measure the analog output, the array was uniformly illuminated with a low-light source and the output signal from a single jot device was measured repeatedly. As a consequence of the quantum nature of light, a different number of photons might be measured in each interval. This fluctuation is also known as photon shot noise. A histogram of the output signal

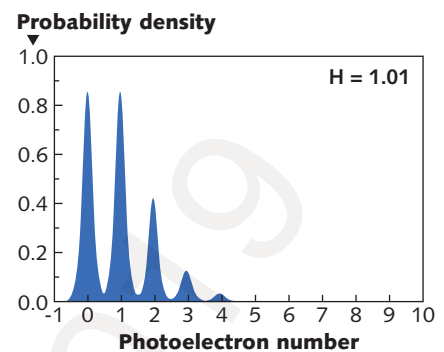


FIGURE 3. A room-temperature photon-counting histogram from a single QIS jot with 20,000 reads shows clear quantization of photoelectrons. Average exposure is one photoelectron, and read noise is 0.175 e- RMS.

voltages was measured and the signal was normalized by the conversion gain, with the x -axis measured in photoelectrons (see Fig. 3).

The photon counting histogram made from 20,000 reads shows clear quantization in signals corresponding to different numbers of electrons. The relative peak heights follow Poisson statistics and the average photoelectron number (quanta exposure) can be characterized by the pattern, yielding 1.01 e-. The separation between peaks provides a second and, in fact, more accurate method of determining conversion gain.

Valley-to-peak ratios provide a remarkably accurate way to determine read noise, typically to within 1/100 e- RMS, in the range from 0.15 to 0.5 e- RMS. If the readout noise is larger than 0.5 e- RMS, no quantization can be seen. For example, a QIS with a conversion gain of about 21 DN/e- has a read noise of around 0.17 e-RMS—slightly better than the average of 0.21 e-RMS for the jots in the array. Lag and dark current are well behaved in this device, with unmeasurable lag and an average dark count rate of 0.16 e-/s at room temperature. Quantum efficiency (QE) was typical for a 3D stacked BSI process, with QE peaking in the mid-80% range near 500 nm.

Digital output array performance has also been measured with single-bit digital quantizers on-chip in the cluster-parallel architecture. In Dartmouth's first 1



FIGURE 4. In the first image from a 1 Mjot digital single-bit QIS array operating at 1040 fps, artifacts appear from framegrabber synchronization.

Mjot QIS image, some frame-grabber artifacts are present (see Fig. 4). For 1000 fps output, total power dissipation in the 1 Mjot single-bit QIS array was only 26 mW. A further 10X reduction in power is desired to enable a gigajot array operating at 1000 fps with 2.6 W total power dissipation. However, with technology node scaling and circuit design improvements, achieving such a goal will soon be possible (see Fig. 5).

QIS and SPADs

The QIS can be realized by different types of photon-counting pixels. In the Dartmouth device, a CIS baseline process was used that does not use avalanche multiplication, leading to smaller pixel sizes,

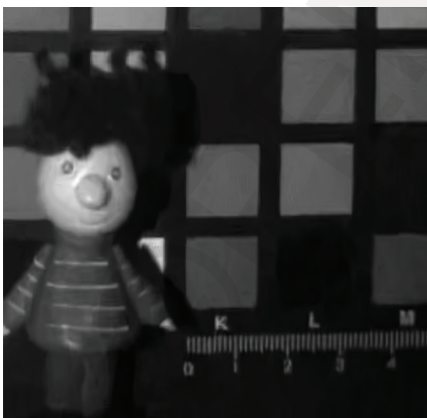


FIGURE 5. A 3-bit (3b) QIS computed image is captured at an average of 0.87 photoelectrons per pixel. (Courtesy of J. Ma and S. Masoodian, Gigajot Technology)

Comparing photon-counting image sensors

	CIS QIS ⁴	SPAD QIS ⁵	EMCCD ⁶
Pixel pitch	1.1 μm	8.0 μm	5.5 μm
Fill factor	100%	27%	50%
Array size	1024 \times 1024	320 \times 240	1920 \times 1080
Average read noise	0.21 e- RMS	0.12 e- RMS	0.45 e- RMS
Pixel dark count rate	0.16 Hz	47 Hz	4 Hz
Total power	26 mW	Not reported	Not reported
Output rate	1.1 Gpix/s	1.2 Gpix/s	0.06 Gpix/s

low power dissipation, low dark count rates, and likely improved manufacturing yield. It is easy to envision such a device being scaled to 10, 100, or 1000 million pixels, depending on the application.

These CIS-based QIS devices can be extended to a multibit mode where instead of single-bit quantization, low bit-depth quantization (such as 3b) is used to improve flux capacity without significantly impacting high-speed readout or power dissipation.

With time-resolved applications like TOF imaging that have entered mass production, SPAD arrays have been around for more than a decade and have matured considerably. Since the introduction of the QIS concept, SPADs have been used to successfully demonstrate much of the predicted QIS performance and operation.⁵

It is possible, with 3D stacking technology and continued SPAD pixel shrinkage, that the performance of SPADs will make them viable for low-resolution (1-10 Mpixel) QIS applications in the future. However, the intrinsic advantage of using a CIS process will lead to unmatched pixel and resolution scaling with reduced die size, cost, and power, with higher manufacturing yield and lower cost per die (see table).

QIS applications

Photon-counting image sensors such as the QIS, SPAD arrays, and EMCCDs provide glimpses of our world—one photon at a time. In ultralow-light applications, such as for scientific imaging in the life sciences or astronomy, or in low-light aerospace and defense and security applications, photon-counting imaging is

critical.

Low-power QIS devices will also find application in low-light Internet of Things (IoT) applications, especially if computational image formation is done in the cloud. Other potential applications, including quantum cryptography and cinematography, are being explored by the Dartmouth spinoff startup Gigajot Technology (Pasadena, CA). Due to the myriad of possibilities offered by computational imaging, it is possible that a QIS device might ultimately find its way to your smartphone. ◀

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